

1. Name of Listed Entity:Nath Bio-Genes (India) Limited
2. Scrip Code/Name of Scrip/Class of Security: NATHBIOGEN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

| S. No. | Particulars | Yes/No | |
|--------|--|--------|-----------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No | |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | |
| 4 | Whether the Listed Entity has any shares in locked-in? | No | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes | Promoter and Promoter Group |
| 6 | Whether the Listed Entity has issued any differential Voting Rights? | No | |
| 7 | Whether the Listed Entity has issued any Warrants ? | No | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of share (III) | No. of fully paid up shares | No. of Partly equity shares | No. of shares Deposits Receipts | Total nos. shares held (VII) = (IV)+(V)+(VI) | Share holding as a % (calculated per 1957) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Outstanding (including Warrants) (X) | Shareholding, as a % assuming full securities (as percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2) | Number of Locked (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity in dematerialized form (XIV) |
|--------------|--------------------------------|---------------------|-----------------------------|-----------------------------|---------------------------------|--|---|---|---|----------|-------------------------|--|--|------------------------|----------------------------|---|---------------------------------|---|
| | | | (IV) | (V) | (VI) | (VI) | As a % of (A+B+C2) | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares (b) | No. (a) | As a % of total Shares held (b) | |
| | | | Class eg: | | | Total | | X | C | Total | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| A | Promoter & Promoter Group | 15 | 7732987 | 0 | 0 | 7732987 | 40.69 | 7732987 | 0 | 7732987 | 40.69 | 0 | 40.69 | 0 | 0 | 2500000 | 32.33 | 7732987 |
| B | Public | 25779 | 11271013 | 0 | 0 | 11271013 | 59.31 | 11271013 | 0 | 11271013 | 59.31 | 0 | 59.31 | 0 | 0 | | | 10928882 |
| C | Non Promoter-Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C1 | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| C2 | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Total | 25794 | 19004000 | 0 | 0 | 19004000 | 100 | 19004000 | 0 | 19004000 | 100 | 0 | 100 | 0 | 0 | 2500000 | 13.16 | 18661869 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category & of the Shareholders (I) | PAN (II) | No. of share holder (III) | No. of fully paid up equity shares held (IV) | Partly paid-equity share held (V) | Nos. of shares underlying Depositor Receipts (VI) | Total nos. shares held (VII = IV+V+VI) | Shareholding % calculate d as per SCRR, 1957 (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlyi ng, as a % of Outstanding convertible securities (including Warrant) (X) | Shareholdi ng, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as % of A+B+C2 | Number Locked shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares in demateri ed form (XIV) | | | |
|------------------------------------|---|---------------------------|--|-----------------------------------|---|--|---|---|---------------------|------------|---|--|-------------------------------------|---------|---|-------|---|-------|---------|-------|
| | | | | | | | | As a % of (A+B+C2) (VIII) | No of Voting Rights | | | | Total as a % of Total Voting rights | No. (a) | As a % of total shares held (b) | | | | | |
| | | | | | | | | | Class X | Cl a s s Y | | | | | | Total | | | | |
| | | | | | | | | | | | | | | | | | | | | |
| 1 | Indian | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| a | Individuals/Hindu undivided Family | | 2 | 439 | 0 | 0 | 439 | 0 | 439 | 0 | 439 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 439 |
| | Jeevanlata Kagliwal | ACBPK 7779H | 1 | 293 | 0 | 0 | 293 | 0 | 293 | 0 | 293 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 293 |
| | Nandkishor Kagliwal | AAGPK 2869M | 1 | 146 | 0 | 0 | 146 | 0 | 146 | 0 | 146 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 146 |
| b | Central Government/ State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d | Any Other (specify) | | 13 | 7732548 | 0 | 0 | 7732548 | 40.69 | 7732548 | 0 | 7732548 | 40.69 | 0 | 40.69 | 0 | 0 | 2500000 | 32.33 | 7732548 | |
| | Bodies Corporate | | 13 | 7732548 | 0 | 0 | 7732548 | 40.69 | 7732548 | 0 | 7732548 | 40.69 | 0 | 40.69 | 0 | 0 | 2500000 | 32.33 | 7732548 | |
| | Prabha Farms | AACCP | 1 | 50271 | 0 | 0 | 50271 | 0.26 | 50271 | 0 | 50271 | 0.26 | 0 | 0.26 | 0 | 0 | 0 | 0 | 0 | 50271 |

| | | | | | | | | | | | | | | | | | | | |
|---|---|--|----|---------|---|---|---------|-------|---------|---|---------|-------|---|-------|---|---|---------|-------|---------|
| | Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | |
| b | Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e | Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 15 | 7732987 | 0 | 0 | 7732987 | 40.69 | 7732987 | 0 | 7732987 | 40.69 | 0 | 40.69 | 0 | 0 | 2500000 | 32.33 | 7732987 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid up equity | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held <u>VII = IV+V+VI</u> | Share holding % calculated as per SCRR | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible | Total shareholding, as a % assuming full conversion of | Number of Locked in shares (XII) | | Number of Shares pledged or | | Number of equity shares held in dematerialized form (XIV) | |
|---|--|-------------|---------------------------|--|-----------------------|--|---|--|---|-------|---------|--|--|----------------------------------|---------|-----------------------------|---------|---|------------------------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % | No. (a) | As a % of total | No. (N) | | As a % of total shares |
| | | | | | | | | | Class X | Class | Total | | | | | | | | |
| 1 | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Mutual Funds/ | | 11 | 1196109 | 0 | 0 | 1196109 | 6.29 | 1196109 | 0 | 1196109 | 6.29 | 0 | 6.29 | 0 | 0 | | | 1194415 |
| | Aditya Birla Sunlife Trustee Private Limited A/C (Mutual Fund) | AAATB 0102C | 1 | 899909 | 0 | 0 | 899909 | 4.74 | 899909 | 0 | 899909 | 4.74 | 0 | 4.74 | 0 | 0 | | | 869909 |
| | Aditya Birla Sunlife Trustee private Limited A/C INDIA FUND | AAATB 0102C | 1 | 320000 | 0 | 0 | 320000 | 1.68 | 320000 | 0 | 320000 | 1.68 | 0 | 1.68 | 0 | 0 | | | 320000 |
| b | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| c | Alternate Investment Funds | | 1 | 439560 | 0 | 0 | 439560 | 2.31 | 439560 | 0 | 439560 | 2.31 | 0 | 2.31 | 0 | 0 | | | 439560 |
| | Indgrowth Capital Fund I | AABPI 4238B | 1 | 439560 | 0 | 0 | 439560 | 2.31 | 439560 | 0 | 439560 | 2.31 | 0 | 2.31 | 0 | 0 | | | 439560 |
| d | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| e | Foreign Portfolio Investors | | 2 | 100112 | 0 | 0 | 100112 | 0.53 | 100112 | 0 | 100112 | 0.53 | 0 | 0.53 | 0 | 0 | | | 100112 |

| | | | | | | | | | | | | | | | | | | | |
|----|---|-------------|-------|---------|---|---|---------|-------|---------|---|---------|-------|---|-------|---|---|---|---|---------|
| f | Financial Institutions/ Banks | | 5 | 30572 | 0 | 0 | 30572 | 0.16 | 30572 | 0 | 30572 | 0.16 | 0 | 0.16 | 0 | 0 | | | 30550 |
| g | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| h | Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| i | Any Other (specify) | | 1 | 550 | 0 | 0 | 550 | 0 | 550 | 0 | 550 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Sub-Total (B)(1) | | 20 | 1766903 | 0 | 0 | 1766903 | 9.29 | 1766903 | 0 | 1766903 | 9.29 | 0 | 9.29 | 0 | 0 | | | 1764637 |
| 2 | Central Government/ State Government(s)/ President of India | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| 3 | Non-institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Individuals - | | 24808 | 5930036 | 0 | 0 | 5930036 | 31.21 | 5930036 | 0 | 5930036 | 31.21 | 0 | 31.21 | 0 | 0 | 0 | 0 | 5648494 |
| i | Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 24775 | 3718542 | 0 | 0 | 3718542 | 19.57 | 3718542 | 0 | 3718542 | 19.57 | 0 | 19.57 | 0 | 0 | | | 3437000 |
| ii | Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 33 | 2211494 | 0 | 0 | 2211494 | 11.64 | 2211494 | 0 | 2211494 | 11.64 | 0 | 11.64 | 0 | 0 | | | 2211494 |
| | ALPANA S DANGI | AFNPD 2805C | 1 | 504359 | 0 | 0 | 504359 | 2.65 | 504359 | 0 | 504359 | 2.65 | 0 | 2.65 | 0 | 0 | | | 504359 |

| | | | | | | | | | | | | | | | | | | |
|---|--|--------------|-------|----------|---|---|----------|-------|----------|---|----------|-------|---|-------|---|---|--|----------|
| | ISRAR ALI KHAN | ADXPK 4032C | 1 | 205200 | 0 | 0 | 205200 | 1.08 | 205200 | 0 | 205200 | 1.08 | 0 | 1.08 | 0 | 0 | | 205200 |
| b | NBFCs registered with RBI | | 2 | 945 | 0 | 0 | 945 | 0 | 945 | 0 | 945 | 0 | 0 | 0 | 0 | 0 | | 945 |
| c | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| d | Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| e | Any Other (specify) | | 949 | 3573129 | 0 | 0 | 3573129 | 18.8 | 3573129 | 0 | 3573129 | 18.8 | 0 | 18.8 | 0 | 0 | | 3514806 |
| | Bodies Corporate | | 527 | 3026750 | 0 | 0 | 3026750 | 15.93 | 3026750 | 0 | 3026750 | 15.93 | 0 | 15.93 | 0 | 0 | | 2983783 |
| | MENTOR CAPITAL LIMITED | AACP P 7955G | 1 | 1599025 | 0 | 0 | 1599025 | 8.41 | 1599025 | 0 | 1599025 | 8.41 | 0 | 8.41 | 0 | 0 | | 1599025 |
| | Clearing member | | 109 | 251910 | 0 | 0 | 251910 | 1.33 | 251910 | 0 | 251910 | 1.33 | 0 | 1.33 | 0 | 0 | | 251910 |
| | Non-Resident Indian (NRI) | | 312 | 293589 | 0 | 0 | 293589 | 1.54 | 293589 | 0 | 293589 | 1.54 | 0 | 1.54 | 0 | 0 | | 293589 |
| | SATPAL KHATTAR | ARCP K 9611J | 1 | 200000 | 0 | 0 | 200000 | 1.05 | 200000 | 0 | 200000 | 1.05 | 0 | 1.05 | 0 | 0 | | 200000 |
| | Overseas Corporate Bodies | | 1 | 880 | 0 | 0 | 880 | 0 | 880 | 0 | 880 | 0 | 0 | 0 | 0 | 0 | | 0 |
| | Sub-Total (B)(3) | | 25759 | 9504110 | 0 | 0 | 9504110 | 50.01 | 9504110 | 0 | 9504110 | 50.01 | 0 | 50.01 | 0 | 0 | | 9164245 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B) | | 25779 | 11271013 | 0 | 0 | 11271013 | 59.3 | 11271013 | 0 | 11271013 | 59.3 | 0 | 59.3 | 0 | 0 | | 10928882 |

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

| | Name | PAN | Passport No. in case of a foreign national | Nationality | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* | | | | | Date of creation / acquisition of significant beneficial interest |
|--|------|-----|--|-------------|--|---------------|--|---------------------|-----------------------------------|---|
| | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |

Table II- Unclaim Details

| | |
|---|-------------------------|
| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | |
| No. of shareholders | No of share held |

Table III- Unclaim Details

| | |
|---|-------------------------|
| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | |
| No. of shareholders | No of share held |

Table III- Person in Concert

| | | | |
|--|--------------------|---------------------|------------------|
| Details of the shareholders acting as persons in Concert including their Shareholding | | | |
| Name of shareholder | Name of PAC | No. of share | Holding % |